

TOP TEN FEDERAL DEFICIENCIES FOR OPT/SP CERTIFICATION:
(01-01-05 through 12-31-05)

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| 1. | 485.709(a) | Governing Body |
| 2. | 485.725(a) | Infection Control Committee |
| 3. | 485.729(a) | Clinical Record Review |
| 4. | 485.729(b) | Annual Statistical Evaluation |
| 5. | 485.711(b) | Plan of Care |
| 6. | 485.725(c) | Housekeeping |
| 7. | 485.729 | Program Evaluation |
| 8. | 485.725(e) | Pest Control |
| 9. | 485.717 | Rehabilitation Program |
| 10. | 485.724(c) | Other Environmental Consideration |

Tag 0012:

There is a governing body (or designated person(s) so functioning) which assumes full legal responsibility for the overall conduct of the clinic or rehabilitation agency and for compliance with applicable law and regulations. The name of the owner(s) of the clinic or rehabilitation agency is fully disclosed to the State agency. In the case of corporations, the names of the corporate officers are made known

Tag 0160:

The infection control committee establishes policies and procedures for investigating, controlling, and preventing infections in the organization and monitors staff performance to ensure that the policies and procedures are executed.

Tag 0169:

A sample of active and closed clinical records is reviewed quarterly by the appropriate health professionals to ensure that established policies are followed in providing services.

Tag 0170:

An evaluation is conducted annually of statistical data such as number of different patients treated, number of patient visits, condition on admission and discharge, number of new patients, number of patients by diagnosis(es), sources of referral, number and cost of units of service by treatment given, and total staff days or work-hours by discipline.

Tag 0050:

For each patient there is a written plan of care established by the physician or by the physical therapist or speech language pathologist who furnishes the services.

The plan of care for physical therapy or speech pathology services indicates anticipated goals and specifies for those services the type, amount, frequency, and duration.

The plan of care and results of treatment are reviewed by the physician or by the individual who established the plan at least as often as the patient's condition requires, and the indicated action is taken. (For Medicare patients, the plan must be reviewed by a physician, nurse practitioner, clinical nurse specialist, or physician assistant at least every thirty days in accordance with 42 CFR 410.61(e).)

Changes in the plan of care are noted in the clinical record. If the patient has an attending physician, the therapist or speech language pathologist who furnishes the services promptly notifies him or her of any change in the patient's condition or in the plan of care.

Tag 0162:

The organization employs sufficient housekeeping personnel and provides all necessary equipment to maintain a safe, clean, and orderly interior. A full-time employee is designated as the one responsible for the housekeeping services and for supervision and training of housekeeping personnel.

An organization that has a contract with an outside resource for housekeeping services may be found to be in compliance with this standard provided the organization or outside resource both meet requirements of the standard.

Tag 0168:

The organization has procedures that provide for a systematic evaluation of its total program to ensure appropriate utilization of services and to determine whether the organization's policies are followed in providing services to patients through employees or under arrangements with others.

Tag 0164:

The organization's premises are maintained free from insects and rodents through operation of a pest control program.

Tag 0067:

This condition and its standards apply only to a rehabilitation agency's own patients, not to patients of hospitals, skilled nursing facilities (SNFs), or Medicaid nursing facilities (NFs) to whom the agency furnishes services. (The hospital, SNF, or NF is responsible for ensuring that qualified staff furnish services for which they arrange or contract for their patients.) The rehabilitation agency provides, in addition to physical therapy and speech language pathology services, social or vocational adjustment services to all of its patients who need them. The agency provides for special qualified staff to evaluate the social and vocational factors, to counsel and advise on the social or vocational problems that arise from the patient's illness or injury, and to make appropriate referrals for needed services.

Tag 0130:

The organization provides a functional, sanitary, and comfortable environment for patients, personnel, and the public.

- (1) Provision is made for adequate and comfortable lighting levels in all areas; limitation of sounds at comfort levels; a comfortable room temperature; and adequate ventilation through windows, mechanical means, or a combination of both.
- (2) Toilet rooms, toilet stalls, and lavatories must be accessible and constructed so as to allow use by nonambulatory and semiambulatory individuals.
- (3) Whatever the size of the building, there must be an adequate amount of space for the services provided and disabilities treated, including reception area, staff space, examining room, treatment areas, and storage.